

08/18/03



FORM PTO-1082

EXPRESS MAIL LABEL NO.: EV246529364US

PATENT

Attorney Docket No.: H0003938

Date: August 18, 2003

 19704 U.S. PTO
 10/644523
 08/18/03

 Inventor(s): Chien-Wei Li et al.
 For: DIFFUSION BARRIER COATING FOR SI-BASED COMPONENTS

 MAIL STOP PATENT APPLICATION
 COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, VA 22313-1450

000128

(Insert Customer Number)

Sir:

Transmitted herewith for filing is the patent application of:

Enclosed are:

21 Page(s) of Specification 12 Page(s) of Claims 1 Page Abstract

6 Sheet(s) of drawing ☒ formal ☐ informal☒ Combined Declaration for Patent Application and Power of Attorney. ☒ executed ☐ unexecuted☒ Form PTO-1595 and an assignment of the invention to Honeywell International Inc., Law Dept. AB2, P.O. Box 2245, Morristown, New Jersey 07962-9806 ☐ Will follow.☐ A certified copy of _____ from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☐ Will follow.☐ An associate Power of Attorney☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.☒ Information Disclosure Statement, Form PTO 1449 and _____ prior art reference(s) of non US patents and patent applications.☒ Preliminary Amendment.☒ General Authorization/Request to Petition for extension of time.☒ Return Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE	48-20=	28	X 9	\$370.00	OR		\$ 750.00
TOTAL CLAIMS	11-3=	8	X 42	\$	OR	X 18	\$ 504.00
INDEP CLAIMS			X 140	\$	OR	X 84	\$ 672.00
MULTIPLE				\$		+ 280	
DEP CLAIMS						TOTAL:	\$ 1,928.00
PRESENTED			TOTAL =				

☐ Please charge my Deposit Account No. 01-1125 the amount of \$ _____ to cover the filing fees. A duplicate copy of this sheet is enclosed.☒ A check in the amount of \$1,968.00 to cover the filing fee and assignment recordal fee is enclosed.☐ Please charge my Deposit Account No. 01-1125 amount of \$40.00 to cover the Assignment Recordal Fee.☒ The Commissioner is hereby authorized to charge payment of the following fees associate with this communication or credit any overpayment to Deposit Account No. 01-1125. A duplicate copy of this sheet is enclosed.☒ Any additional filing fees required under 37 CFR 1.16.☒ Any patent application processing fees under 37 CFR 1.17.☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 01-1125. A duplicate copy of this sheet is enclosed.☐ Any patent application processing fees required under 37 CFR 1.17.☐ The issue fee set in 37CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.31(b).☐ Any filing fees under 37 CFR 1.16 for presentation or extra claims.

Respectfully submitted,

 Michael A. Shimokaji, Reg. No. 32,303
 Robert Desmond, Reg. No. 38,430

 Honeywell International Inc.
 Law Dept. AB2
 P.O. Box 2245
 Morristown, NJ 07962-9806
 (602) 365-2588

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chien-Wei Li et al.

For: DIFFUSION BARRIER COATING FOR SI-BASED COMPONENTS

CERTIFICATE OF MAILING

MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

"EXPRESS MAIL" Mailing Label No.: EV246529364US

Date of Deposit: August 10, 2003

I hereby certify that an application for patent, including:

21 pages of Specification, 12 pages of Claims, a one-page Abstract; 6 Sheet(s) of formal Drawings; Combined Declaration for Patent Application and Power of Attorney; General Authorization/Request to Petition for Extensions of Time; an executed Assignment document and Form PTO 1595; a check in the amount of \$1,966.00 to cover the filing fee and the assignment recordal fee; an Information Disclosure Statement and Form 1449; a Transmittal Letter (Form PTO 1082); and a Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: 8/10/03

Michael A. Shimokaji, Reg. No. 32,303

Express Mail No.: EV246529364US
PATENT
H0003938

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chien-Wei Li et al.

Serial No.: Filed herewith

Filing Date: Filed herewith

For: DIFFUSION BARRIER COATING FOR Si-
BASED COMPONENTS

GENERAL AUTHORIZATION/REQUEST TO PETITION FOR EXTENSIONS OF TIME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

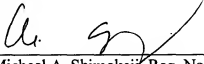
Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicant(s) hereby authorize(s) and request(s) the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefor for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. 01-1125. A duplicate copy of this general authorization is enclosed.

Respectfully submitted,

Date: August 18, 2003



Michael A. Shimokaji, Reg. No. 32,303
Robert Desmond Reg. No. 38,430
Attorneys for Applicant

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